



Patrick Schleiffer

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EXPERTISE

Dr. Patrick Schleiffer is a partner based at our Zurich office and a leading expert on financial market law. His specialisms include capital markets, stock exchange and securities law, investment fund law, financial services regulation, and corporate law and corporate governance. Patrick is authorised to represent issuers before the SIX Swiss Exchange. He also lectures on capital markets and securities law at the University of Zurich, is a regular speaker at conferences and co-editor of the Swiss internet-based newsletter CapLaw, and an officer of the Securities Law Committee of the International Bar Association (IBA).

PROFESSIONAL EXPERIENCE AND EDUCATION

2002	Partner, Lenz & Staehelin
1995	Joined Lenz & Staehelin
1989	Research and teaching assistant, University of Zurich
1997	New York Bar Admission
1996	New York University School of Law (M.C.J.)
1995	Swiss Bar Admission
1992	University of Zurich (Dr. iur.)
1989	University of Zurich (lic. iur.)

SPECIALIST AREAS

Capital Markets, Equity Offering, Domestic and International Bond and Equity-linked Securities Offerings, Investment Funds, Financial Services Regulation, Banking and Finance, Corporate and M&A, Corporate Governance, Commercial and Contracts

LANGUAGES

German, English, French

MEMBERSHIPS

Zurich Bar Association (ZAV), Swiss Bar Association (SAV), International Bar Association (IBA), Swiss American Chamber of Commerce, New York State Bar Association (NYSBA)

SELECTED PUBLICATIONS

- Schärli P., Schleiffer P., Arndgen C., Proposed Amendments to the FMIA: Impact on Rules for Disclosure of Significant Shareholdings, in: CapLaw-2024-82
- Schleiffer P., Verfallsklauseln (sunset clauses): Zulässigkeit und Ausgestaltung bei Schweizer Publikumsgesellschaften mit Stimmrechtsaktien, in: GesKR (Gesellschafts- und Kapitalmarktrecht), 4/2024
- Schleiffer P., Schärli P., Kommentierung von Art. 19 FIDLEG, Art. 10, 12, 17 – 23 sowie 61 FINIG, in: Bahar/Watter (eds.), Basler Kommentar zum Finanzdienstleistungsgesetz und Finanzinstitutsgesetz, Basel 2023
- Schleiffer P., Härtsch T., Leisinger B., ESG survey regarding disclosure regulations and capital market transactions - Switzerland, in: International Bar Association ESG survey 2022 (edited by Flechner/Akhund/Schleiffer), May 2023
- Rayroux F., Schleiffer P., Vogt Scholler L., Schärli P., Bechaalany S., Financial Services Compliance 2022 - Switzerland, in: Getting the Deal Through, April 2022
- Schleiffer P., Schärli P., Kommentierung von Art. 44-46 FIDLEG, in: Sethe/Bösch/Favre/Kramer/Schott (eds.), Kommentar zum Finanzdienstleistungsgesetz FIDLEG, Zurich 2021
- Schleiffer P., Corporate Governance 2021 - Switzerland, in: International Comparative Legal Guides, August 2021, 162-168
- Bechaalany S., Schleiffer P., Vogt Scholler L., Meylan D., 4. Kapitel: Asset Manager als Finanzinstitute - Bewilligung und Aufsicht, in: Asset Management im neuen regulatorischen Umfeld, Helbing Lichtenhahn Verlag, Basel 2021
- Schleiffer P., Schärli P., Social Trading, in: CapLaw, Swiss Capital Markets Law, 2/2021, 2-9
- Stahler O., Hünérwadel P., Schleiffer P., Equity Derivatives 2020 - Switzerland, in: Getting the Deal Through, August 2020, 73-83
- Frey H., Schärli P., Schleiffer P., Securities Litigation 2020 - Switzerland, in: Getting the Deal Through, May 2020, 75-81
- Schleiffer P., Schärli P., Grenzüberschreitende Erbringung von Finanzdienstleistungen, in: GesKR (Gesellschafts- und Kapitalmarktrecht), 1/2020, 24-35
- Schleiffer P., Schärli P., Kommentierung von Art. 61-65 FinfraG und Art. 68-73 FinfraG, in: Watter/Bahar (eds.) Basler Kommentar zum FINMAG und FinfraG, 3rd edition, Basel 2019
- Schleiffer P., Securities Finance 2019 - Switzerland, in: Getting the Deal Through, May 2019, 94-99
- Schleiffer P., von Riedmatten R., Supervision of Portfolio Managers and Trustees - Update, in: CapLaw, Swiss Capital Markets Law, 5/2019, 57-64
- Rayroux F., Schleiffer P., Alternative Investment Funds 2018 - Switzerland, in: The International Comparative Legal Guide, July 2018, 237-244
- Schleiffer P., Schärli P., Supervision of Portfolio Managers and Trustees - Update, in: CapLaw, Swiss Capital Markets Law, 5/2018, 69-75
- Schleiffer P., Schärli P., Ausnahmen von der Prospektspflicht unter dem künftigen Finanzdienstleistungsgesetz, in: GesKR (Gesellschafts- und Kapitalmarktrecht), 1/2018, 1-9
- Schleiffer P., Schärli P., New Reporting Obligations for Securities Dealers and Participants of Swiss Trading Venue, in: CapLaw, Swiss Capital Markets Law, 2/2018, 2-5

- Schleiffer P., Schärli P., Multilaterale Handelssysteme (MTF) und organisierte Handelssysteme (OTF), in: Sester/Brändli/Bartholet/Schiltknecht (eds.), St. Galler Handbuch zum Schweizer Finanzmarktrecht / Finanzmarktaufsicht und Finanzmarktinfrastrukturen, Zurich/St. Gallen 2017, § 22B
- Schleiffer P., Schärli P., New Rules for Organized Trading Facilities, in: CapLaw, Swiss Capital Markets Law, 2/2017, 14-20
- Schleiffer P., Schärli P., Supervision of Portfolio Managers and Trustees - Update, in: CapLaw, Swiss Capital Markets Law, 1/2017, 34-38
- Schleiffer P., Schärli P., Supervision of Portfolio Managers and Trustees, in: CapLaw, Swiss Capital Markets Law, 1/2016, 42-47
- Schleiffer P., Kommentierung von Art. 18-21 FusG, in: Watter/Vogt/Tschäni/Daeniker (eds.), Basler Kommentar zum Fusionsgesetz, 2nd edition, Basel 2015
- Schleiffer P., Revised Cross-Border Marketing Regime for non-Swiss Funds to Qualified Investors in Switzerland applies as from 1 March 2015, in: CapLaw, Swiss Capital Markets Law, 2/2015, 11-17
- Schleiffer P., Schärli P., Ein Überblick über das künftige Finanzdienstleistungsgesetz und Finanzinstitutsgesetz, in: GesKR (Gesellschafts- und Kapitalmarktrecht) 4/2014, 334-347
- Schleiffer P., Schärli P., Der Vertrieb von Private Equity-Fonds unter dem revidierten Kollektivanlagengesetz, in: Gericke (ed.), Private Equity III, Struktur und Regulierung von Private Equity-Fonds und -Fondsmanagern im Lichte des revidierten KAG und der AIFM-RL, Zurich/Basel/Geneva 2013, 133-185
- Schleiffer P., Grenzüberschreitende Platzierungen in die Schweiz, in: Reutter/Werlen (eds.), Kapitalmarkttransaktionen VII, Zurich/Basel/Geneva 2012, 165-239
- Schleiffer P., Fischer D., Kommentierung von Art. 21-23 BEG und Art. 7 HWpÜ, in: Watter/Bahar (eds.) Basler Kommentar zum FINMAG und FinfraG, 3rd edition, Basel 2012
- Schleiffer P., Obligations of a Public Company, in: Going Public on SIX Swiss Exchange, 2011, 114-117
- Schleiffer P., Prospektfreie Platzierungen, in: Reutter/Werlen (eds.), Kapitalmarkttransaktionen V, Zurich/Basel/Geneva 2010, 121-193
- Schleiffer P., Wolf M., Die Mitwirkung der Gesellschaft bei Sekundärplatzierungen, in: Oertle/Wolf/Breitenstein/Diem (eds.), M&A - Recht und Wirtschaft in der Praxis, Liber Amicorum for Rudolf Tschäni, Zurich 2010, 297-317
- Schleiffer P., Stahler O., The revised listing rules of the SIX Swiss Exchange for bonds, in: The Euromoney International Debt Capital Markets Handbook 2010, 41-43
- Schleiffer P., Tranchet M., Fischer D., Soft Sounding, in: CapLaw, Swiss Capital Markets Law, 2/2009, 2-6
- Schleiffer P., Lambert ., Auskunfts- und Einsichtsrecht, in: GesKR (Gesellschafts- und Kapitalmarktrecht), special edition 2008, 98-103
- Schleiffer P., Kursstabilisierung - ausgewählte Aspekte, in: Reutter/Werlen (eds.), Kapitalmarkttransaktionen III, Zurich/Basel/Geneva 2008, 99-151
- Stahler O., Schleiffer P., Distribution of Structured Products in Switzerland, in: The Euromoney International Debt Capital Markets Handbook 2008, 105-107
- Schleiffer P., Breitenstein S., Money Laundering Law in Switzerland, in: Butterworths Money Laundering Law, Issue 21/2007, 2601-2612

- Schleiffer P., Gutzwiller P., Offenlegung von Beteiligungen im Falle eines Trusts (Trustees) als Aktionär, in: GesRK (Gesellschafts- und Kapitalmarktrecht) 2007, 61-67
- Stahler O., Schleiffer P., Distribution of Fund-Linked Notes in Switzerland, in: The Euromoney International Debt Capital Markets Handbook 2007, 189-191
- Schleiffer P., Offenlegungs- und übernahmerechtliche Aspekte bei Kapitalmarkttransaktionen, in: Reutter/Watter/Werlen (eds.), Kapitalmarkttransaktionen I, Zurich/Basel/Geneva 2006, 125-175
- Schleiffer P., No Material Adverse Change, in: Tschäni, R. (ed.), Mergers & Acquisitions VI, Zurich 2004, 53-107
- Schleiffer P., Kommentierung von Art. 18-21 FusG (Commentary on Certain Articles of the Merger Act), in: Watter/Vogt/Tschäni/Daeniker (eds.), Basler Kommentar zum Fusionsgesetz, Basel 2004

OTHER ACTIVITIES

- Admitted as recognized representative for the listing of securities on SIX Swiss Exchange.
- Officer of the Securities Laws and Capital Markets Committee of the International Bar Association (IBA).

REFERENCES

Patrick Schleiffer brings many years of experience in capital markets. He is friendly, direct and diplomatic.

Chambers & Partners, 2025

We trust Patrick 100% in acting in the interest of the company. He has strong negotiation power and can also check all of the legal points and knows what the market standard is.

Chambers & Partners, 2024

Patrick Schleiffer and his team are well established in the market; they know the standards and advice in an effective manner.

The Legal 500, 2024

Patrick Schleiffer is an expert in his field. He is well-connected and also know the likely counterparts and how to deal with.

The Legal 500, 2024

Patrick Schleiffer is "always on top of new regulatory developments, long before they come into force". He is lauded for his "strong understanding of regulatory issues" and "pragmatic approach to solving complex problems".

Who's Who Legal, 2024

Patrick Schleiffer garners widespread plaudits for his longstanding practice which sees him advise clients on investment fund law and financial services regulation.

Who's Who Legal, 2024

Patrick Schleiffer is an outstanding corporate governance specialist who earns endorsements for his seasoned practice in Zurich.

Who's Who Legal, 2024

He is a very experienced capital markets lawyer

Chambers & Partners, 2023